



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of

Donald HARRELL, et al.

U.S. Serial No.

09/942,078

Filing Date

August 28, 2001

Title of the Invention

METHOD FOR SELLING MARINE CARGO

INSURANCE IN A NETWORK ENVIRONMENT

**Examiner Name** 

Vanel Frenel

Art Unit

3626

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith a PTO/SB/08A form and a list of the references thereon in order to comply with the Applicant's duty of disclosure. These references were cited in application ser. no. 10/045,658, filed October 26, 2001 and entitled "Integrated Suite of Products/Services for Conducting Business Online. application incorporates by reference provisional application no. 60/228,882 filed August 29, 2000, of which the present application claims the benefit.

Applicants respectfully request that the documents listed be considered by the Examiner and be made of record in the above-identified application. Copies of the non-US references are being submitted herewith. However, copies of the US references listed thereon are not being submitted herewith, but Applicants will be happy to submit copies should the Examiner so request.

As this Information Disclosure Statement is being submitted after the issuance of a first Office Action, the Commissioner is authorized to deduct the fee set forth in CFR 1.17(p) and any other fees due in connection with this submission from Deposit Account No. 16-2500.

Respectfully submitted, PROSKAUER ROSE LLP Attorneys for the Applicant(s)

Βv

Tzvi Hirshaut Reg. No. 38,732

26 April 2006

PROSKAUER ROSE LLP 1585 Broadway New York, NY 10036-8299 Tel: (212) 969-3000

Enclosures:

PTO/SB/08A Form & cited non-US references

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many si	heets as necessary)	!
	<del></del>	
	1 - 14	

Complete if Known			
Application Number	09/942,078		
Filing Date	August 28, 2001		
First Named Inventor	Donald HARRELL, et al.		
Art Unit	3626		
Examiner Name	Vanel Frenel		
Attorney Docket Number	10251-047		

U. S. PATENT DOCUMENTS						
Examiner Initials*	er Cite Document Number No.1 Number-Kind Code <sup>2 (f known)</sup>		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		<sup>US-</sup> 6,922,720	07-2005	Cianciarulo et al.		
		<sup>US-</sup> 6,315,196	11-2001	Bachman, Richard		
		<sup>US-</sup> 4,831,526	05-1989	Luchs et al.		
		<sup>US-</sup> 2002/0116228	08-2002	Bauer et al.		
		<sup>US-</sup> 6,862,571	03-2005	Martin et al.		
		<sup>US-</sup> 5,899,980	05-04-99	Wilf et al.		
		<sup>US-</sup> 6,405,177	06-11-02	DiMattina		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-	<u> </u>			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ <sup>¢</sup>	
		WO 01/39090 A1	05-31-01				
	-					_	
	ļ					<u> </u>	
				•		L	

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Einds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Finds Codes of USPTO Patent Documents, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO				Complete if Known		
Jubanu	10 10 10/11 1443/1 10			Application Number	09/942,078	
INF	ORMATION	I DIS	CLOSURE	Filing Date	August 28, 2001	
STATEMENT BY APPLICANT		First Named Inventor	Donald HARRELL, et al.			
	(lles so many che	note ne n	accesand	Art Unit	3626	
(Use as many sheets as necessary)			ecessary)	Examiner Name	Vanel Frenel	
Sheet	2	of	2	Attorney Docket Number	10251-047	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Jeffery A. Siderius, Insurance For Electronic Data Risks: An Idea whose Time Has Come?, Mealey's Technology Litigation and Insurance, March, 1999, Vol.1, #1, (4 pages).	
		Daniel S. Levine, Cargo Insurance, World Trade, August 1997, Vol. 10, Issue 8, (3 pages).	
		"Net Exporter," Campbell, A.J., Business America, September 1998, Vol. 119, Issue 9, (3 pages).	
		"Covering Ocean Cargo," Fletcher, Doyle W., American Agent & Broker, October 1997, Vol. 69, Issue 10, (5 pages).	
		"Delivering the Goods for Truckers," Brown, Marshall, American Agent & Broker, October 1996, Vol. 68, Issue 10, (5 pages).	

Examiner	 Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.